

Docket No.: YO999527

3624  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

5 Applicant(s): Tetsunosuke Fujisaki  
Docket No.: YO999527  
Serial No.: 09/710,999  
Filing Date: November 9, 2000  
Group: 3624  
10 Examiner: Narayanswamy Subramanian

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Signature: Jene Mauro Date: October 14, 2004

Title: Method and Apparatus for Network Marketing of Financial Securities

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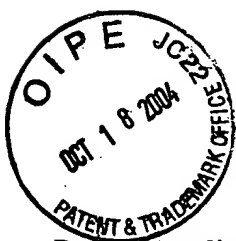
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AMENDMENT AND RESPONSE TO OFFICE ACTION

20 Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

25 Sir:

In response to the outstanding Office Action, dated July 14, 2004, please amend the above-identified patent application as follows:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s) Tetsunosuke Fujisaki  
Docket No.: YO999-527  
Serial No.: 09/710,999  
Filing Date: November 9, 2000  
Group: 3624  
Examiner: Narayanswamy Subramanian

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1470, Alexandria, VA 22313-1450

Signature: Kevin M. Mason Date: October 14, 2004

Title: Method and Apparatus for Network Marketing of Financial Securities

TRANSMITTAL LETTER

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is an Amendment and Response to Office Action relating to the above-identified patent application. There is no additional claim fee due in connection with the Response.

In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **IBM Corporation Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this letter are enclosed.

Respectfully submitted,

Kevin M. Mason

Kevin M. Mason  
Attorney for Applicant(s)  
Reg. No. 36,597  
Ryan, Mason & Lewis, LLP  
1300 Post Road, Suite 205  
Fairfield, CT 06824  
(203) 255-6560

Dated: October 14, 2004